

# Commission and Fixed Income Schedule

## Equity and ETF Pricing

The following represents the equity and ETF commission schedule for Listed and NMS/Over the Counter stocks, rights and warrants. These commissions are charged at the time of trade and used to help defray our cost to service your account(s). Registered Representative-Assisted trade commissions may be negotiable at the discretion of the Registered Representative.

	Citigold Private Client	Citigold	Citi Priority	Citi Banking	No Linked Checking
ETFs — Online/Mobile	\$0	\$0	\$0	\$6.95	\$12.95
Equities — Online/Mobile	\$0	\$2.95	\$4.95	\$6.95	\$12.95
Service Center	\$65	\$65	\$65	\$65	\$65
Registered Representative Assisted	Greater of 1.00% of Total Principal or \$65				

## Option Pricing

The following represents the option commission schedule. These commission are charged at the time of trade and used to help defray our cost to service your account(s). Registered Representative-Assisted trade commissions may be negotiable at the discretion of the Registered Representative.

	Citigold Private Client	Citigold	Citi Priority	Citi Banking	No Linked Checking
Online	\$0	\$2.95 + \$0.75/Per Contract	\$4.95 + \$0.75/Per Contract	\$6.95 + \$0.75/Per Contract	\$12.95 + \$0.75/Per Contract
Service Center	\$65	\$65	\$65	\$65	\$65
Registered Representative Assisted	\$65 + \$0.75/Per Contract				

Equity block trading capabilities for transactions with a minimum of 10,000 shares may be available. To learn more about this capability including the circumstances when it can be used and pricing, please speak to your Registered Representative.

Contact your Registered Representative for more information about foreign security pricing<sup>1</sup>, or call 1-800-846-5200 | TTY: 1-800-788-6775.

The terms, fees and conditions of accounts, products, programs and services are subject to change.

Additional fees may apply. Service Center pricing and Registered Representative Assisted pricing do not apply to Self-Service accounts.

<sup>1</sup>Foreign settlements are defined as securities traded on foreign exchanges (ADRs are not included).

**INVESTMENT AND INSURANCE PRODUCTS: NOT FDIC INSURED • NOT A BANK DEPOSIT •  
NOT INSURED BY ANY FEDERAL GOVERNMENT AGENCY • NO BANK GUARANTEE • MAY LOSE VALUE**

## Fixed Income

The following fixed income sales credit ranges have been established for the lines of business covered in this disclosure, effective as of June 30, 2020. Sales credits are charged at the time of trade and used to help defray our cost to service your account(s). As these rates may be reviewed and updated from time to time, please check the current grid before placing a trade. Please note that CGMI may charge below the minimum in limited circumstances (subject to negotiation and internal oversight) and may exceed the stated maximum sales credit rates in rare and exceptional circumstances, such as instances of severe market stress and extremely limited liquidity, and closely monitors any such cases.

<b>Client Buys/Sells ("Offers" and "Bids")</b>		
Maturity	Minimum S/C*	Maximum S/C
<b>Treasury Securities and Agency Securities (Use Call Date as Tenor for Callable Bonds)</b>		
<b>Bills and Discount Notes (calculated off the discount margin)</b>		
0 - 4 month	1bp	5bps
4+ months - 6 months	2bp	10bps
6+ months - 1 year	3bp	15bps
<b>Bonds</b>		
0 - 1 year	1/8pt	1/4 pt. or 1 % whichever is lower
1+ year - 3 years	1/8 pt	3/8 pt. or 1 % whichever is lower
3+ years - 7 years	1/8 pt	1/2 pt. or 1 % whichever is lower
7+ years - 10 years	1/8 pt	3/4 pt. or 1 % whichever is lower
10+ years - 20 years	1/4 pt	1 pt. or 1 % whichever is lower
20+ years - longer	1/4 pt	2 pt. or 1 % whichever is lower
<b>Mortgage Backed, Municipals, CDs, and Corporate Bonds (Use Call date as tenor)</b>		
<b>Bonds</b>		
0 - 1 year	1/8 pt	1/4 pt. or 1 % whichever is lower
1+ year - 3 years	1/8 pt	3/4 pt. or 1 % whichever is lower
3+ years - 7 years	1/4 pt	1 pt. or 1 % whichever is lower
7+ years - 10 years	1/4 pt	1 1/2 pt. or 1 % whichever is lower
10+ years - longer	1/2 pt	2 pt. or 2 % whichever is lower
<b>Emerging Markets, International &amp; Preferreds with Par =1000</b>		
0 - 5 years	1/4 pt	1 pt. or 2 % whichever is lower
5+ years - longer	1/4 pt	2 pts. or 2 % whichever is lower

**\*Subject to \$10 minimum charge per transaction**

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